

**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Docket No: Q66584

Masayuki NAYA, et al.

Appln. No.: 10/053,585

Confirmation No.: 3468

Group Art Unit: 1641

Filed: January 24, 2002

Examiner: Christopher L. Chin

For: **SURFACE PLASMON RESONANCE MEASURING CHIP AND METHOD OF  
MANUFACTURE THEREOF**

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §§ 1.97 and 1.98**

**MAIL STOP AMENDMENT**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed no later than three months from the application's filing date of an RCE; before the mailing date of the first Office Action on the merits (whichever is later); and before the mailing date of the first Office Action after filing a

INFORMATION DISCLOSURE STATEMENT  
U.S. Appln. No.: 10/053,585

Attorney Docket No. Q66584

request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses herewith encloses partial English language translations of the JP documents and an English language Abstract of the literature reference.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.

Respectfully submitted,

  
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23373  
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Date: March 19, 2007

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| <p>Substitute for Form 1449 A &amp; B/PTO</p> <p><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b></p> <p><i>(use as many sheets as necessary)</i></p> |   |    |   | <p><i>Complete if Known</i></p> |                     |
| Sheet   | 1 | of | 1 | Application Number              | 10/053,585          |
|   |   |    |   | Confirmation Number             | 3468                |
|   |   |    |   | Filing Date                     | January 24, 2002    |
|   |   |    |   | First Named Inventor            | Masayuki NAYA       |
|   |   |    |   | Art Unit                        | 1641                |
|   |   |    |   | Examiner Name                   | Christopher L. Chin |
|   |   |    |   | Attorney Docket Number          | Q66584              |

| NON PATENT LITERATURE DOCUMENTS |                       |  |                          |
|---------------------------------|-----------------------|--|--------------------------|
| Examiner Initials*              | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published. | Translation <sup>6</sup> |
|                                 |                       | TAKESHI UENO et al., "A Waveguide SPR Sensor," PHS-00-09, 2000   | Abstract                 |
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